



# SOCResources, Inc.

4<sup>th</sup> Flr. ENZO Building 399 Senator Gil Puyat Avenue, Makati City  
Metro Manila, Philippines 1200

28 August 2019

## CAPITAL MARKETS INTEGRITY CORPORATION

10<sup>th</sup> Floor, Philippine Stock Exchange Tower  
5<sup>th</sup> Avenue corner 28<sup>th</sup> Floor, Bonifacio Global City  
Taguig City, Philippines

Attention: Ms. Grace M. Calubaquib  
AVP & Head, Surveillance Department

Re: Letter dated 28 August 2019

Gentlemen:

We refer to your letter dated 28 August 2019,<sup>1</sup> requiring **SOCRESOURCES, INC.** (the "Company") to explain the unusual price movement in the trading of its shares from Php0.78 to Php1.17 per share at 1:55:33PM, 28 August 2019.


Neither the Company nor its officers are aware of any material information, act, transaction, development or event which could possibly cause such price movement. We are also not aware of any material information which would necessitate disclosure to the Philippine Stock Exchange and the Securities and Exchange Commission. In fact, we learned of such price movement only through your letter. Should the Company or its officers receive any information relative to said price movement, we will make the necessary disclosure.

In view of the foregoing, we sincerely hope and request that no trading halt be imposed upon the Company's shares.

Very truly yours,

SOCRESOURCES, INC.

By:

  
**DAVID BALADAD**  
Vice President

SUBSCRIBED AND SWORN to before me this 28<sup>th</sup> day of August 2019 at Makati City, affiant, who has satisfactorily proven to me his identity through competent evidence, exhibiting his Driver's License No. NO6-75-029049, expiring on 13 September 2023, and his Professional

<sup>1</sup> A copy of which is attached as Annex "A" hereof.




# SOCResources, Inc.

4<sup>th</sup> Flr. ENZO Building 399 Senator Gil Puyat Avenue, Makati City  
Metro Manila, Philippines 1200

Regulation Commission No. 0000609 issued on 10 October 1979 and expiring on 13 September 2021.

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**NICOLE ELENA IILIC ESPINA**  
Commission No. M-477  
Notary Public – Makati City  
Until December 31, 2019  
Esguerra & Blanco Law Offices  
4th & 5th Floors, S&L Building, De La Rosa corner  
Esteban Sts., Legaspi Village, Makati City 1229  
PTR No. 7340830/1-07-19/Makati City  
IBP No. 059011/1-04-19/Makati City Chapter  
Roll No. 70939





# CAPITAL MARKETS INTEGRITY CORPORATION

August 28, 2019

Ref. No.: OSD-19-0225

**SOCRESOURCES, INC.**

Enzo Building,  
399 Senator Gil Puyat Avenue, Makati City

**ANNEX "A"**

Attention: **MR. DAVID BALADAD**  
Vice President

Re: **SOCRESOURCES, INC. ("SOC")**

Gentlemen:

The Surveillance Department ("SD") of the Capital Markets Integrity Corporation ("CMIC"), observed that there was an unusual price movement in the trading of **SOCRESOURCES, INC. ("SOC")** shares at 01:55:33 PM today, August 28, 2019. The share price of **SOC** increased from PhP0.78 to PhP1.17 per share.

Please furnish CMIC any information relating to the unusual price movement by submitting a **written statement under oath**, in conformity with Article XI-A, Section 5 of the CMIC Rules, confirming the existence or absence of any undisclosed information that could have triggered the unusual price movement, not later than **08:00 AM tomorrow, August 29, 2019**. Please be advised that CMIC may recommend to the Philippine Stock Exchange ("PSE") the imposition of a **trading halt** on **SOC** shares tomorrow if you fail to submit the abovementioned written statement within the period indicated. The trading halt shall last until such time that disclosure is made and fully disseminated to our trading participants and shall be lifted one (1) hour after the dissemination of your disclosure.

Notice to SD should be sent via the Electronic Disclosure Generation Technology ("EDGE") Submission System, addressed to the undersigned, to facilitate the release of the same through EDGE to comply with the requirements.

Very truly yours,

  
**GRACE M. CALUBAQUIB**  
AVP & Head, Surveillance Department

Cc: Disclosure Department